



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pengbo Zhou and Peter Howley

Serial No: 09/415,795

Filing Date: Oct. 8, 1999

For: *Targeted Proteolysis by Recruitment to Ubiquitin Protein Ligases*

Examiner:

Group Art Unit:

Atty. Docket No. **HMV-043.01**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents Washington, D.C. 20231.

January 28 2000  
Date of Signature  
and of Mail Deposit

*Carmen Parra*  
Carmen Parra

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**FEE CALCULATION SHEET/TRANSMITTAL OF RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir/Madam:

Transmitted herewith is a Response to Notice to File Missing Parts, a Request for a One-Month Extension of Time, two executed Declarations for Patent Application, and all applicable fees. The fee for the extra claims is calculated as follows.

	# Filed		# Basic	# Extra
Basic Fee				
Total Claims	36	MINUS	20	16
Independent Claims	7	MINUS	3	4
[ 1 ] MULTIPLE DEP. CLAIM				

**SMALL ENTITY**

RATE	ADDT'L FEE
\$345	
x 9 =	
x 39 =	
+130 =	

OR

**OTHER THAN SMALL ENTITY**

RATE	ADDIT. FEE
\$690	\$690
x 18 =	\$288
x 78 =	\$312
x 260 =	\$260

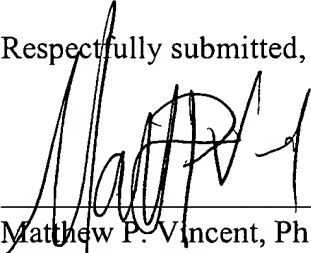
TOTAL ADDITIONAL FEE

OR TOTAL

\$1550

- [X] A check in the amount of \$1680.00 to cover the fee for the additional surcharge as set forth in 37 CFR 1.16(e) and the additional claims.
- [X] A check in the amount of \$110.00 is enclosed to cover the fee for the One-Month Extension of Time for this response.
- [X] Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. 06-1448. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
Matthew P. Vincent, Ph.D., J.D.  
Reg. No. 36,709  
Attorney for Applicants

Patent Group  
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Telephone (617) 832-1000  
Facsimile (617) 832-7000

Date: January 28, 2000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sectore #3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/415,795	10/08/99	ZHOU	P HMV-043.01

MATTHEW P VINCENT ESQ  
FOLEY HOAG & ELIOT LLP  
ONE POST OFFICE SQUARE  
BOSTON MA 02109-2170

Resp to MP  
0242/1117  
1-17-00  
6-17-00

NOT ASSIGNED

1632

DATE MAILED: 11/17/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1750.00.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.  
Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:  
\$ 288 for 16 total claims over 20.  
\$ 312 for 4 independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:  
☒ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).  
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Kim Duncan  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

02/02/2000 06:14:40 09415795  
01/15/02 312.00 00 00 00  
02/15/03 288.00 00 00 00  
03/15/05 130.00 00 00 00  
04/15/06 260.00 00 00 00  
05/15/07 690.00 00 00 00  
70.00 CH